14 - 28 - 04

PART B - FEE(S) TRANSMITTAL



Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 02/05/2004 7590 MORGAN & FINNEGAN, L.L.P. Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. 345 Park Avenue New York, NY 10154-0053 (Depositor's name (Signature) CONFIRMATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. APPLICATION NO. FILING DATE 09/911,969 07/24/2001 3479-4000US1 4744 Yukio Kawamura TITLE OF INVENTION: ANTITUMOR PROTEIN AND CORRESPONDING GENE SEQUENCE ISOLATED FROM MATSUTAKE MUSHROOMS **PUBLICATION FEE** APPLN. TYPE SMALL ENTITY **ISSUE FEE** TOTAL FEE(S) DUE DATE DUE 05/05/2004 \$1330 \$300 \$1630 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS HELMS, LARRY RONALD 1642 536-023740 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or I Morgan & Finnegan, LLP agents OR, alternatively, (2) the name of a single ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Independent Administrative Food Research Institute Japan Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual x corporation or other private group entity ☐ government 4b. Payment of Fee(s): 4a. The following fee(s) are enclosed: A check in the amount of the fee(s) is enclosed. Issue Fee M Publication Fee ☐ Payment by credit card, Form PTO-2038 is attached. The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-4500 (enclose an extra copy of this form). Advance Order - # of Copies Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) 04/29/2004 MBERHE1 00000098 09911969 Fee and Publication Fee (if required) will not be accepted from anyone plicant; a registered attorney or agent; or the assignee or other party in NOTE: The Issue 1330.00 OP

other than the applicant; a registered attorney or agent; or the assignee or off interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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01 FC:1501

02 FC:1504

03 FC:8001

300.00 OP

15.00 OP

Docket No. 3479-4000US1



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): KAWAMURA, et al.

Group Art Unit:

1642

Serial No.:

09/911,969

Examiner:

HELMS, L.

Filed:

July 24, 2001

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

EXPRESS MAIL CERTIFICATE

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Express Mail Label No.: EV 357795156 US

Date of Deposit: April 26, 2004

I hereby certify that the following attached paper(s) and/or fee

- 1. Issue Fee Transmittal Letter;
- 2. Part B- Fee Transmittal (PTOL-85);
- 3. A check in the amount of \$1645.00 to cover the issue fee (\$1330.00), an advance order of 5 copies of the patent (\$15.00) and the publication fee (\$300.00); and
- 4. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed)or printed name of person matting papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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27123

↑CUSTOMER NUMBER↑

Confirmation No.: 4744

Date of Notice of Allowance: February 5, 2004

Serial No.: 09/911,969

Attorney Docket No.: 3479-4000US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

KAWAMURA, et al.

Group Art Unit:

1642

Serial No.:

09/911,969

Examiner:

HELMS, L.

Filed:

July 24, 2001

For:

ANTITUMOR PROTEIN AND GENE ENCODING SAME

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ISSUE FEE TRANSMITTAL LETTER

Sir:

In response to the Notice of Allowability mailed February 5, 2004, Applicants submit herewith the Issue Fee Transmittal Form and a check for \$1645.00 to cover the Issue Fee Due (\$1330.00), an advance order of 5 copies of the patent (\$15.00) and the publication fee (\$300.00).

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any over payment, to Deposit Account No. <u>13-4500</u>, Order No. 3479-4000US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: April 26, 2004

By:

Sybil A! Llombillo

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